

Practitioner's Docket No. 70551/56,677  
PATENT

Gp/2827

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: M. Yasuda, et al.  
Application No.: 10/040,804 Group No.: 2827  
Filed: November 8, 2001 Examiner: Norris, Jeremy  
For: ELECTRONIC COMPONENT AND METHOD AND STRUCTURE FOR  
MOUNTING SEMICONDUCTOR DEVICE

**BOX NON-FEE AMENDMENT**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**AMENDMENT TRANSMITTAL**

1. Transmitted herewith is an amendment for this application.

**STATUS**

2. Applicant is  
 a small entity. A statement:  
 is attached.  
 was already filed.  
 other than a small entity.

**EXTENSION OF TERM**

**NOTE:** "Extension of Time in Patent Cases (Supplement Amendments) -- If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

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**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))**

I hereby certify that, on the date shown below, this correspondence is being:

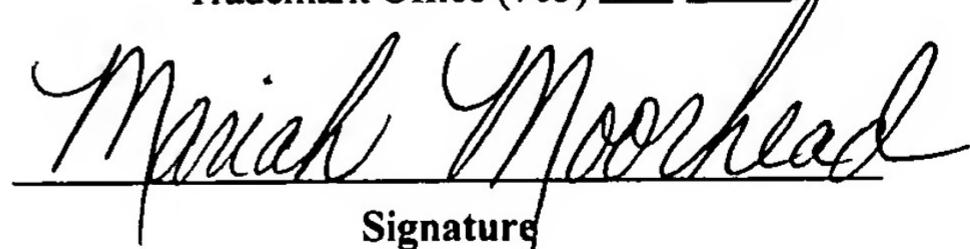
**MAILING**

- deposited with the United States Postal Service  
with sufficient postage as first class mail in an  
envelope addressed to the Assistant Commissioner  
for Patents, Washington, D.C. 20231.

Date: October 28, 2002

**FACSIMILE**

- transmitted by facsimile to the Patent and  
Trademark Office (703) \_\_\_\_\_

  
\_\_\_\_\_  
Mariah Moorhead  
Signature

\_\_\_\_\_  
Mariah Moorhead  
(type or print name of person certifying)

*If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).*

*NOTE: See 37 C.F.R. Section 1.645 for extensions of time in interference proceedings, and 37 C.F.R. Section 1.550(c) for extensions of time in reexamination proceedings.*

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. Section 1.136 apply.

*(complete (a) or (b), as applicable)*

- (a) [ ] Applicant petitions for an extension of time under 37 C.F.R. Section 1.136 (fees: 37 C.F.R. Section 1.17(a)(1)-(4)) for the total number of months checked below:

	Extension (months)	Fee for other than <u>small entity</u>	Fee for <u>small entity</u>
[ ]	one month	\$ 110.00	\$ 55.00
[ ]	two months	\$ 400.00	\$ 200.00
[ ]	three months	\$ 920.00	\$ 460.00
[ ]	four months	\$ 1,440.00	\$ 720.00

Fee: \$ \_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

*(check and complete the next item, if applicable)*

- [ ] An extension for \_\_\_\_\_ months has already been secured. The fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

OR

- (b) [X] Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

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### FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. Section 1.16(b)-(d)) has been calculated as shown below:

(Col.1)	(Col. 2)	(Col. 3) SMALL ENTITY	OTHER THAN A SMALL ENTITY
Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Addit. Fee      OR      Addit. Rate      Fee
Total 9	Minus 20	= x \$9 = \$	x \$18 = \$0
Indep. 4	Minus	*= 4 x \$42 = \$	x \$84 = \$0
[ ] First Presentation of Multiple Dependent Claim		+ \$140 = \$	+ \$280 = \$0
		Total Addit. Fee \$____	OR Total Addit. Fee \$0

\* If the entry in Col. 1 is less than the entry in Col. 2, write "O" in Col. 3,

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**WARNING:** *"After final rejection or action (Section 1.113) amendments may be made canceling claims or complying with any requirement of form which has been made." 37 C.F.R. Section 1.116(a) (emphasis added).*

*(complete (c) or (d), as applicable)*

(c) [X] No additional fee for claims is required.

OR

(d) [ ] Total additional fee for claims required \$ \_\_\_\_\_.

### FEE PAYMENT

5. [ ] Attached is a check in the sum of \$ \_\_\_\_\_.  
[ ] Charge Account No. \_\_\_\_\_ the sum of \$ \_\_\_\_\_.  
A duplicate of this transmittal is attached.

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## FEE DEFICIENCY

*NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).*

6.  If any additional extension and/or fee is required, charge Account No. 04-1105.

### AND/OR

- If any additional fee for claims is required, charge Account No. 04-1105.

Respectfully submitted

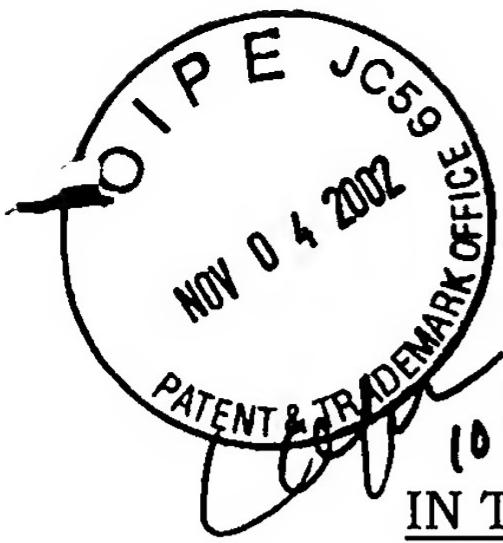
By:

George W. Harnell, III  
Reg. No: 42,639  
Dike, Bronstein, Roberts & Cushman  
Intellectual Property Practice Group  
EDWARDS & ANGELL, LLP  
P.O. Box 9169  
Boston, MA 02209  
Tele: (617)-517-5523

Date: October 28, 2002

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Customer No.:



#7/A  
Amott.  
Attorney Docket No. 70551/56,677  
O. McClinton  
11/7/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): M. Yasuda, et al. /

EXAMINER: Norris, Jeremy

SERIAL NO.: 10/040,804 /

GROUP: 2827

FILED: November 8, 2001 ✓

FOR: ELECTRONIC COMPONENT AND METHOD AND STRUCTURE FOR  
MOUNTING SEMICONDUCTOR DEVICE

TECHNOLOGY CENTER 2800  
RECEIVED  
NOV -5 2002

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: **BOX NON-FEE AMENDMENT**, Commissioner for Patents, Washington, D.C. 20231 on October 28, 2002.

By:

*Mariah Moorhead*  
Mariah Moorhead

Assistant Commissioner for Patents  
Box: Non-Fee Amendment  
Washington, DC 20231

Sir/Madam:

RESPONSE TO OFFICE ACTION

The following is in response to the Office Action mailed July 31, 2002 in the above-referenced application.

The Applicants believe that no extension of time is required since this response is being filed before the expiration of the specified time period. The Applicants, however, conditionally petition for an extension of time to provide for the possibility

that such a petition has been inadvertently overlooked and is required. As provided below, charge Deposit Account **04-1105** for any required fee.

Please amend the subject application as follows:

IN THE SPECIFICATION

Please **replace** the paragraph beginning at line 31 on page 4 with the following:

A semiconductor device according to the present invention is an electronic component including, on electrodes, a plurality of connection materials connected to another electronic component. The connection materials include a composite connection material formed of a core and a conductor covering the core, the core having a low modulus of elasticity at room temperature smaller than a modulus of elasticity of the conductor at room temperature, and include a single-layer connection material formed of a conductor.

Please replace the three paragraphs beginning at line 6 on page 15 and ending at line 20 on page 16 with the following:

The self-alignment function is explained below in more detail. Single-layer connection materials (bumps) 3 arranged in the inner area in the electrode region of the semiconductor device melt in a mounting process to serve the self-alignment function. Accordingly, nuclei 1 covered with melted conductor in composite connection materials 9 move to respective positions appropriate for stress alleviation after mounting. If all of the connection materials are composite connection materials, an undesirable state occurs as described below. Suppose here that nuclei 1 of composite connection materials 9 are each a resin ball with a low modulus of elasticity and the resin ball is covered with a conductor, which is Sn-Pb alloy. When semiconductor device 5 having these connection materials is connected to substrate 6, the smaller amount of conductor, Sn-Pb, covering resin balls 1 results in an insufficient self-alignment function. Then, resin balls do not move to respective